

Figure 2. Variation of K_H/K_n with n for 2-naphthol in the ground state.

from this theory is now usually called the "Gross-Butler equation," which may be written

$$\frac{K_H}{K_n} = \frac{1 - n + n\phi}{(1 - n + n\phi)^3}$$

$$\phi = l^3(K_H/K_D) \quad (5)$$

where K_n is the acidity constant in a water-heavy water solvent mixture of n atom fraction deuterium, and l is a parameter, usually assumed to equal 0.67.^{13,18} Generally, the Gross-Butler equation fits experimental data very well for weak, monobasic acids; more refined equations must be used for strong acids.^{13,19}

(18) A. J. Kresge and A. L. Allred, *J. Am. Chem. Soc.*, **85**, 1541 (1963).

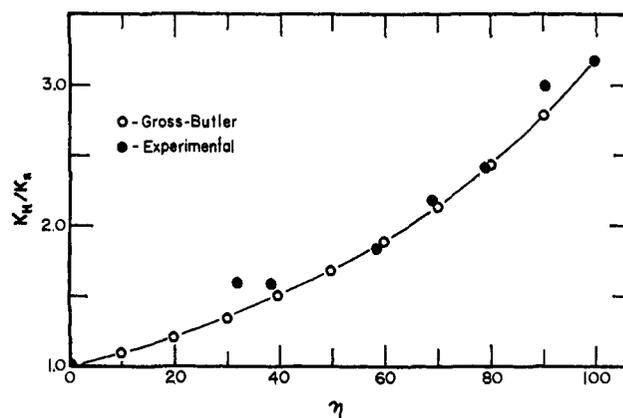


Figure 3. Variation of K_H/K_n with n for 2-naphthol in the first excited singlet state.

In the present study, the variation of K_H/K_n was measured as a function of n for 2-naphthol in its ground and first excited singlet states; the experimental results are compared with the curves predicted from the Gross-Butler equation in Figures 2 and 3. Agreement between theory and experiment is excellent for the ground state, and, considering the uncertainties involved in measuring excited-state acidities, the agreement is surprisingly good for the first excited singlet. To a first approximation, it is concluded that the Gross-Butler equation adequately predicts pK_n values in mixed D_2O-H_2O solvents, provided that pK_H^* is fairly large. This observation lends further support to the observation that magnitudes of equilibrium isotope effects in electronically excited aromatic compounds can be predicted, with a surprising degree of accuracy, from ground-state equations and parameters.

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Substituent Effects. V.^{1,2} Further Evidence Concerning the Nature of the Inductive Effect

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Abstract: The ultraviolet absorption spectra of *m*- and *p*-aminophenyltrimethylammonium ions, of *m*- and *p*-trifluoromethylaniline, and of β - and γ -aminopyridine have been compared with that of aniline; the results suggest that the "inductive" substituent CF_3 operates mainly by a field effect rather than by a π -inductive effect. This conclusion was also supported by comparisons of the proton n.m.r. spectra of *p*-tolyl- and *p*-methylbenzyl-trimethylammonium ions, of *p*-trifluoromethyltoluene, and of γ -picoline in various solvents.

There are a number of different ways in which a substituent can influence a distant reaction center; the purpose of the work described in this series of papers

(1) This work was supported by the Army Research Office through Grant DA-ARO-D-31-124-G496.

(2) Part IV: M. J. S. Dewar and P. J. Grisdale, *J. Am. Chem. Soc.*, **84**, 3548 (1962).

(3) N.A.S.A. Predoctoral Fellow, 1962-1964; National Institutes of Health Predoctoral Fellow, 1964-1965.

is to assess the relative importance of the possible modes of action of substituents, and to establish some procedure for estimating the effects of given substituents in arbitrary molecules. The first four papers of this series described a study of substituent effects in naphthalene; in them it was concluded that the so-called "inductive effect" of classical organic theory is in fact a direct field

with that¹¹ (τ 6.43) for dioxane in carbon tetrachloride. The effect of changing from nitromethane to carbon tetrachloride as solvent therefore seems to be negligible.

The first three columns of Table I list wave lengths and transition energies for the lowest transitions of aniline and of compounds I, II, IV, and V. Table II shows the proton n.m.r. chemical shifts (τ scale) for toluene, and for compounds III and VI, using the numbering indicated above; the J_{23} coupling constants are also listed.

Table I. Wave Lengths and Transition Energies for the Lowest Transitions of Aniline Derivatives and Aminopyridines

Compd.	λ_{\max} , m μ	Log ϵ	Transition energy (e.v.)	
			Obsd.	Calcd.
Aniline	278	3.17	4.48	4.37
I	288	3.49	4.31	4.37
II	265 ^a	Ca. 3.34	4.68	4.75
IVa	283	3.36	4.39	4.32
Va	280	3.16	4.43	3.70
IVb	288	3.19	4.31	...
Vb	275 ^a	3.12	4.52	...

^a Shoulder.

in VIa, 2.20 in VIc, and 2.33 in VIb. In γ -picoline (III) on the other hand there is a much larger shift to low field for the α protons, while the β protons show no significant shift in either direction. On this basis one can conclude immediately that the group CF_3 acts predominantly by a field effect rather than by a π -inductive effect. The same conclusion follows, though less spectacularly, from the chemical shifts for the methyl protons; the differences from toluene are much greater in VIb and VIa than in III, and the value for VIc indicates that the effect of trifluoromethyl is much closer to that of Me_3N^+ , or $\text{Me}_3\text{N}^+\text{CH}_2$, than that of a ring nitrogen.

This argument rests of course on the assumption that the group N^+Me_3 acts mainly by a field effect; the results in Table II are certainly consistent with this. Thus since an inductive effect is usually considered to depend simply on the number of bonds through which it is transmitted, one might expect the effects of Me_3N^+ to be the same in the 3-position of VIc and in the 2-position of VIa. This is not the case. More striking still is the effect of changing the solvent from nitromethane to deuterium oxide. If the chemical shifts were due to inductive effects, one would not expect this

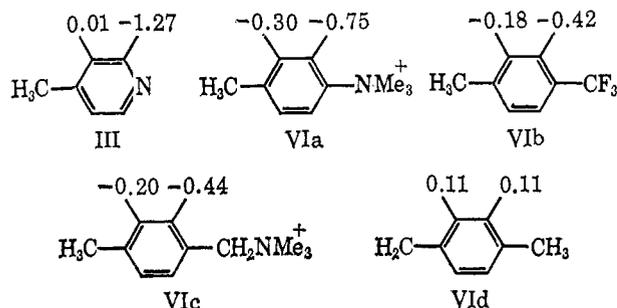
Table II. Proton N.m.r. Spectra of Substituted Toluenes

Compd.	Solvent	Internal std. ^a	H_2 , ^b τ	H_3 , ^b τ	$(\text{H}_2 - \text{H}_3)$	J_{23} , c.p.s.	H_7 , ^b τ
VIc	CH_3NO_2	TMS	2.98	2.98	0	...	7.73
III	CH_3NO_2	TMS	2.88	1.60	1.28	6	7.70
VIb	CH_3NO_2	TMS	2.69	2.45	0.23	8	7.62
VIa	CH_3NO_2	TMS	2.58	2.15	0.43	9	7.62
	D_2O	TSPS	2.58	2.26	0.32	9	7.62
VIc	CH_3NO_2	TMS	2.67	2.44	0.23	8	7.59
	D_2O	TSPS	2.65	2.65	0.00	...	7.59

^a TMS = tetramethylsilane; TSPS = sodium 1-trimethylsilylpropane-3-sulfonate. ^b For numbering see formulas in text.

Discussion

Compounds III and VI can be regarded as 4-substituted toluenes; the effect of the substituent therefore should be measured by the chemical shifts relative to those of the ring protons in toluene. The ring protons in toluene form an $\text{A}_2\text{B}_2\text{C}$ system; fortunately, however, the chemical shifts are all identical so that only a single line is observed in the n.m.r. spectrum at τ 2.87 (in nitromethane). The chemical shifts in nitromethane, relative to toluene, are then as follows.



Cursory inspection of these results indicates that CF_3 behaves exactly like the charged groups, and entirely differently from ring nitrogen; in the former cases all four ring protons show a large shift to lower field, the ratio of the shifts for the 3- and 2-protons being 2.50

change to have any large effect. In fact the shifts due to a charged substituent (Me_3N^+ or $\text{CH}_2\text{N}^+\text{Me}_3$) are much smaller in D_2O than in CH_3NO_2 , and the differences between the 3- and 2-protons also decrease; indeed, with VIc the difference vanishes.

Both these results would be expected if Me_3N^+ acts primarily by a field effect. Thus the distance from nitrogen to a 2-position in VIa is greater than the mean distance (assuming free rotation) from nitrogen to a 3-position in VIc, and the electrostatic field at the ring carbon therefore should be less in the former case. The effect of solvent is of course immediately intelligible in terms of a field effect, for replacing nitromethane by the more polar deuterium oxide would be expected both to reduce the magnitudes of the chemical shifts and also to decrease the differences between those for the 3- and 2-positions.

Incidentally it is interesting that the ratio of chemical shifts for the 3- and 2-positions in nitromethane is less for VIc (2.20) than for VIa (2.50); this again would be expected if a field effect is operating, for the mean distances to nitrogen are more nearly similar in VIc than in VIa.

Proton n.m.r. chemical shifts reflect the structures of ground states of molecules; the spectral differences in Table I on the other hand probably refer mainly to the effects of structural changes on the relative energies of

excited states. Here again CF_3 seems to act mainly by a field effect, although the distinction is less clear. Thus while the spectra of the two *para*-substituted anilines, Va and Vb, closely resemble that of aniline itself, the first absorption band of γ -aminopyridine (II) appears at much shorter wave lengths. In this case, however, there is a clear indication that the trifluoromethyl group does also show a significant π -inductive effect. Thus while *p*- Me_3N^+ has a small bathochromic effect, the effect of CF_3 , while also small, is hypsochromic. Moreover the bathochromic effect of *m*- CF_3 is much greater than that of *m*- Me_3N^+ , the spectral shift being identical with that observed in β -picoline (I). If we write the effect of CF_3 in the form

$$(\text{effect of } \text{CF}_3) = a(\text{effect of } \text{Me}_3\text{N}^+) + b(\text{effect of pyridine N}) \quad (2)$$

we can solve for a and b using the data in Table I; we find

$$a = 1.03; \quad b = 0.46 \quad (3)$$

The effect of CF_3 therefore resembles more closely that of Me_3N^+ than that of introducing a nitrogen atom into the ring.

These arguments rest on our conclusion that the spectrum of aniline is affected in different ways by changing the electronegativity of a ring atom, and by introducing a positive charge at a position adjacent to the ring. We therefore carried out some MO calculations to see if they would support this deduction from the observed spectra.

The calculations were carried out by a simple modification of the Pople SCF method.¹² The parameters used were as follows.

amine nitrogen

$$Z = 4.80; \quad W_{\text{N}} = W_{\text{C}} - 17.37 \text{ e.v.}; \quad (ii,ii) = 16.57 \text{ e.v.}$$

ring nitrogen

$$Z = 3.57; \quad W_{\text{N}} = W_{\text{C}} - 2.96 \text{ e.v.}; \quad (ii,ii) = 12.34 \text{ e.v.}$$

resonance integrals

$$\text{for ring bonds, } -2.281 \text{ e.v.}; \quad \text{for } \text{CNH}_2, -1.500 \text{ e.v.} \quad (4)$$

The remaining repulsion integrals were calculated by the uniformly charged sphere method, using "upper-lower" values; these correspond closely to those given by the Pariser-Parr approximation.¹³ The parameter values in eq. 4 correspond closely to those suggested by recent work in these laboratories.¹⁴ In calculating excitation energies, it is necessary to introduce at least a limited amount of configuration interaction. Following an argument put forward by Dewar and Longuet-Higgins¹⁵ we decided to include configuration interaction between the first four excited states. This procedure was used to estimate excitation energies for aniline, and for β - and γ -aminopyridine. In order to treat the anilinium ions IVa and Va, we needed to include the electrostatic interactions of a charge adjacent

to the ring. This was done by treating the charge on the Me_3N^+ group as a point charge $+e$ located at the nucleus of the nitrogen atom; the valence-state ionization potentials of the various atoms in the aniline system were then corrected for the electrostatic effect of this charge, using the uniformly charged sphere approximation. Thus, the corrected valence state ionization potential of atom i (W_i') was given in terms of the value in aniline (W_i) by

$$W_i' = W_i - e^2(r_i^2 + R^2)^{-1/2}/D \quad (5)$$

where r_i is the internuclear distance between atom i and the exocyclic charge, R is the radius of the sphere used to approximate one lobe of the $2p$ orbital of atom i , and D is the effective dielectric constant. After some experiment, we set $D = 10$. The results of these calculations are shown in Table I in the last column.

The calculated excitation energies agree qualitatively with our expectations in that they predict a hypsochromic shift on passing from β - to γ -aminopyridine, but a bathochromic shift on passing from *m*- to *p*-aminophenyltrimethylammonium ion. The quantitative agreement is poor, but probably as good as could be expected; although the method used gives excellent results for heats of formation of molecules in their ground states, it would not be expected to give accurate values for excitation energies. Moreover the treatment of the surrounding medium as a continuous dielectric with uniform dielectric constant D is clearly a gross approximation.

Conclusions

Branch and Calvin¹⁶ first tried to account quantitatively for the long-range influence of substituents in saturated systems in terms of a classical inductive effect propagated by successive polarization of bonds; they deduced that the fall-off factor, *i.e.*, the attenuation per bond, was approximately $1/2.8$, and a number of other authors also have recommended similar values. The work described in the present series of papers suggests that this is much too large, and that the major long-range effect of "inductive" substituents is due to a direct electrostatic interaction across space rather than to the classical inductive effect. Several other authors recently have arrived at similar conclusions; for example, Holtz and Stock¹⁷ have shown that the effects of dipolar substituents in bicyclooctanes can be accounted for quantitatively in terms of field effects; Spiesscke and Schneider¹⁸ compared H^1 and C^{13} chemical shifts in substituted benzenes and observed that the effects *meta* to substituents are very small, implying that inductive effects are negligible; and Hooper and Bray¹⁹ found that substituents separated from a chlorine or bromine atom by three or more intervening carbon atoms have a negligible effect on the nuclear quadrupole resonance frequency of halogen, again indicating that inductive effects die away rapidly along a carbon chain.

Our results also suggest that π -inductive effects may be more important in excited states of molecules than

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in their ground states; this of course would be expected.⁴ Bond localization is a phenomenon associated with closed-shell structures; resonance interactions in general, and π -inductive effects, in particular, should therefore be more important in open-shell systems such as excited states.

Experimental Section

Melting points are corrected (Fisher-Johns Block).

γ -Aminopyridine. A commercial sample was recrystallized from benzene-chloroform, m.p. 159–161° (lit.²⁰ m.p. 158°).

m-Acetylamino-phenyltrimethylammonium iodide. Acetylation of *m*-dimethylaminoaniline (5.0 g.) with acetic anhydride (10 ml.) gave *m*-acetylamino-dimethylaniline (m.p. 85.5–86.5°; lit.²¹ m.p. 87°) which was boiled overnight under reflux with excess methyl iodide, giving *m*-acetylamino-phenyltrimethylammonium iodide (6.35 g., 87% over-all) which crystallized from absolute methanol in pale yellow needles, m.p. 217.5–218°. *Anal.* Calcd. for C₁₁H₁₇IN₂O: C, 41.26; H, 5.35; I, 39.64; N, 8.75. Found: C, 41.42; H, 5.63; I, 39.41; N, 8.54.

m-Aminophenyltrimethylammonium Chloride Hydrochloride. An aqueous ethanolic solution of *m*-acetylamino-phenyltrimethylammonium iodide (3.0 g.) was treated with silver oxide (10 g.) and filtered, and the filtrate was made strongly acid with hydrochloric acid and refluxed overnight. After evaporation *in vacuo*, the residue crystallized from methanol-ether as colorless plates, transition at 165°, m.p. 182–186° (lit. m.p. 185–189°). *Anal.* Calcd. for C₉H₁₀Cl₂N₂: C, 48.44; H, 7.23; Cl, 31.73; N, 12.55. Found: C, 48.50; H, 7.19; Cl, 31.75; N, 12.46.

p-Benzoylamino-phenyltrimethylammonium Iodide. An ethereal solution of *p*-benzoylamino-dimethylaniline²² (2.50 g.) and excess methyl iodide was boiled overnight under reflux. The precipitate was recrystallized twice from methanol, giving *p*-benzoylamino-phenyltrimethylammonium iodide (2.85 g., 72%) as colorless needles, which began to melt at 211°, resolidified, and then melted sharply at 232–234°. *Anal.* Calcd. for C₁₆H₁₉IN₂O: C, 50.27; H, 5.01;

I, 33.20; N, 7.33. Found: C, 50.26; H, 4.83; I, 33.45; N, 7.47.

p-Aminophenyltrimethylammonium Chloride Hydrochloride. The above iodide (2.85 g.) was boiled for 1 hr. under reflux with excess aqueous ethanolic hydrochloric acid, the solution was evaporated to dryness, the residue was taken up in absolute methanol and precipitated with ether, and the precipitate was extracted with boiling benzene. The residue (1.50 g.) was shaken with a suspension of silver oxide (5 g.) in aqueous ethanol and filtered, and the filtrate was made strongly acid with hydrochloric acid and evaporated to dryness. Repeated crystallization of the residue from methanol-ether gave *p*-aminophenyltrimethylammonium chloride (0.60 g.), m.p. 211° dec. (lit. m.p. 206–206.5,¹⁰ 219²³). *Anal.* Calcd. for C₉H₁₀Cl₂N₂: C, 48.44; H, 7.23; Cl, 31.78; N, 12.55. Found: C, 48.33; H, 7.52; Cl, 31.76; N, 12.45.

p-Tolyltrimethylammonium Iodide. Prepared from methyl iodide and from *N,N*-dimethyl-*p*-toluidine, the iodide had m.p. 218–219° (lit.²⁴ m.p. 222°).

p-Methylbenzyltrimethylammonium Iodide. Reaction of *p*-methylbenzyl bromide with dimethylamine gave *p*-methylbenzyl-dimethylamine, *n*^{27D} 1.4945 (lit.²⁵ *n*^{25D} 1.499). The methiodide crystallized from methanol in colorless plates, m.p. 210–211.5° (lit.²⁵ m.p. 210–211°).

p-Methylbenzotrifluoride. A solution of methyl iodide (28.4 g.) in dry benzene (50 ml.) was added dropwise to a boiling ethereal solution of *p*-trifluoromethylphenylmagnesium bromide, prepared from *p*-bromobenzotrifluoride (14.3 g.) and magnesium (1.6 g.). After 24 hr. under reflux the cooled solution was treated with dilute sulfuric acid and the organic layer was distilled through a 24-in. spinning-band column, giving *p*-methylbenzotrifluoride (3.5 g., 34%) as a colorless liquid, b.p. 129°. A sample purified for analysis by gas-liquid chromatography on silicone gum rubber had *n*^{25D} 1.4320. *Anal.* Calcd. for C₈H₇F₃: C, 60.00; H, 4.41; mol. wt., 160. Found: C, 59.93; H, 4.68; mol. wt. (mass spectroscopy), 160. The mass spectrum also showed major peaks at mass number 91 (H₃CC₆H₄⁺) and 69 (F₃C⁺).

The remaining materials and solvents were commercial samples whose physical constants agreed with those reported in the literature.

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New Heteroaromatic Compounds. XXIV.¹ Bromination and Nitration of 4-Methyl-4,3-borazaroisoquinoline

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Abstract: Bromination or nitration of 4-methyl-4,3-borazaroisoquinoline³ (Ib) gave the 8-bromo (IIa) and 8-nitro (IIb) derivatives as the sole isolable products. The theoretical implications of this, and of the proton n.m.r. spectra of Ib, IIa, and IIb, are discussed.

Previous papers of this series have described a series of novel heteroaromatic compounds containing boron, isoelectronic with normal aromatics and derived from them by replacing a pair of adjacent carbon atoms by boron and nitrogen. One of the more interesting systems of this kind is 4,3-borazaroisoquin-

oline (Ia), which is isoelectronic with isoquinoline; derivatives³ of this showed unusual stability to hydrolysis and oxidation, even by comparison with other boron-containing heteroaromatics, and preliminary studies showed that they also underwent typical electrophilic substitution reactions. Reactions of this kind are of considerable theoretical interest, for the relationship between the reactivity of such a boron-containing aromatic, and of the isoelectronic "normal" system from which it is derived, provides a good test

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